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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/784,120

Pieter Bots

NHL-NP-44

NILS H. LJUNGMAN NILS H. LJUNGMAN & ASSOCIATES P.O. BOX 130 GREENSBURG, PA 15601-0130 CONFIRMATION NO. 5247
FORMALITIES LETTER

OC000000012671443

Date Mailed: 05/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

07/19/2004 HDEMESS1 00000064 10784120

01 FC:1001 02 FC:1051

770.00 DP 130.00 DP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

 Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A new oath or declaration, identifying this application number is required. The oath or declaration does not comply with 37 CFR 1.63 in that it:
- · does not identify the citizenship of each inventor.

Replies should be mailed to: Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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NILS H. LJUNGMAN & ASSOCIATES

P.O. BOX 553 GREENSBURG, PA 15601-0553

7/12/04

PAY TO THE

COMMISSIONER OF PATENTS & TRADEMARKS

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NHL-NP-44

SERIAL NO. 10/784,120

FILING FEE/SURCHARGE FEE

CHECK NO. 5219

NP-44 FILING/SURCHARGE FEES

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NILS H. LJUNGMAN & ASSOCIATES

5219



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5219

THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXAMINER:

(NOT YET RECEIVED)

ART UNIT:

2171

SERIAL NO.:

10/784,120

FILING DATE:

February 20, 2004

INVENTORS:

Pieter BOTS, Carsten RUSS, Axel LUCASSEN

and Herman PERK

TITLE:

MONITORING AND DATA EXCHANGE

PROCEDURE FOR A PERIPHERAL DATA

STORAGE UNIT

Greensburg, Pennsylvania 15601

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

July 14, 2004

TRANSMITTAL LETTER

Sir:

Please find enclosed herewith the following documents relating to the above-cited case:

- 1) a Response to Notice to File Missing Parts of Nonprovisional Application;
- 2) a copy of the Notice to File Missing Parts of Nonprovisional Application;
- 3) an executed Supplemental Declaration and Power of Attorney document;
- 4) a Preliminary Amendment having 10 pages;
- 5) a check for \$900.00 representing \$770.00 for the basic filing fee, and \$130.00 for the large entity surcharge fee;
- 6) an Information Disclosure Statement (Form PTO-1449) having one page;
- 7) copies of the references (3) cited in the Information Disclosure Statement;
- 8) an Authorization to Withdraw from Deposit Account and a duplicate copy thereof; and
- 9) a stamped, self-addressed postcard, return of which is requested to acknowledge receipt of the enclosed documents.

TRANSMITTAL LETTER Page 2

It is believed that no further fee is required to file the enclosed document.

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on <u>July 14, 2004</u>.

Respectfully submitted,

Nils H. Ljungman, Esq. Attorney for Applicant[s]

Reg. No. 25,997

Name of person signing certification

Nils H. Ljungman & Associates

P.O. Box 130

Greensburg, PA 15601-0130

Telephone: (724) 836-2305 Facsimile: (724) 836-2313

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on <u>July 14, 2004</u>.

Signature

Vivian W. Toohey

Name of person mailing paper or fee

July 14, 2004

Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXAMINER:

(NOT YET RECEIVED)

ART UNIT:

2171

SERIAL NO.:

10/784,120

FILING DATE:

February 20, 2004

INVENTORS:

Pieter BOTS, Carsten RUSS, Axel LUCASSEN

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TITLE:

MONITORING AND DATA EXCHANGE PROCEDURE FOR A PERIPHERAL DATA

STORAGE UNIT

Greensburg, Pennsylvania 15601

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

July 14, 2004

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Sir:

The Notice to File Missing Parts of Nonprovisional Application, mailed on May 14, 2004, a copy of which is enclosed herewith, requires that a basic filing fee of \$770.00, a large entity surcharge fee of \$130.00, and a Declaration signed by the inventors be submitted by July 14, 2004, which is two months from the mailing date of the Notice to File Missing Parts of Nonprovisional Application, relating to the above-cited patent application.

This case was filed without a Declaration because an application with a Declaration attached thereto was not received by the Attorney of Record by the Convention Date. Therefore, in order to comply with the filing requirements, a Declaration citing the above-identified application by its serial number and filing date is enclosed herewith. Priority was claimed under 35 U.S.C. §119 at the time of filing of the above-cited application.

The undersigned attorney of record hereby states that the invention recited in the Declaration enclosed herewith is identical to the invention captioned above.

As requested by the Notice to File Missing Parts of Nonprovisional Application, all required documents are enclosed herewith.

If mailed, I, the person signing this certification below, hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated in the certification of mailing on the transmittal letter sent herewith, or if facsimile transmitted, I, the person signing this certification below, hereby certify that this paper is being facsimile transmitted to the United States Patent and Trademark Office on the date indicated in the certification of facsimile transmission on the transmittal letter which is being facsimile transmitted herewith.

Respectfully submitted,

Nils H. Ljungman, Esq.

Attorney for Applicant[s]

Reg. No. 25,997

Name of person signing certification

Nils H. Ljungman & Associates

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